

FEDERAL ASSISTANCE AWARD DATA SYSTEM
FISCAL YEAR 1986 SECOND QUARTER REPORT

Federal Government departments and agencies reported financial assistance awards totaling \$129.7 billion to the Federal Assistance Award Data System (FAADS) during the second quarter (Jan - March) of fiscal year 1986. The number of transactions reported was 222,879, consisting of both individual award transactions and awards summarized on a county-wide basis.

BACKGROUND

While reporting in FAADS is generally on the basis of federal funds obligated, there is some variation as to what the reported funds represent. For example, insurance programs and loan guarantees reflect the contingent liability of the Federal Government at the time the financial award is made. Guaranteed loans reported in FAADS thus would represent the full amount of the loan agreement which is being guaranteed, rather than the actual expense incurred when payments are made on loans that are in default.

Grant-in-aid programs in FAADS generally represent the total value of the award and are reported at the time the award is approved. The actual payments of financial assistance resulting from the award may be made over a time period different from that in which the award is reported in FAADS.

Entitlement payments to individuals are summarized in FAADS on a county-wide basis, to reduce the volume of transactions reported each quarter. Such awards include Social Security payments, Medicare, disaster assistance, and the like. Most entitlement awards reported in FAADS represent actual payments made during the quarterly period.

Users of the FAADS information are urged to keep in mind the possible differences in the funding amounts represented by the data. Appropriate grouping of similar types of financial assistance (grants with grants, loans with loans, etc.) can result in more meaningful statistics on the level of Federal Government financial assistance being provided.

HIGHLIGHTS

In this second quarter of fiscal year 1986 report, the Labor Department - Employment Standards Administration (ESA) is reporting first quarter fiscal year 1986 financial assistance awards late. Also, they are reporting second quarter of fiscal year 1986 awards.

The Department of Housing and Urban Development is not reporting the financial assistance awards of the Single Family Housing programs. These programs, usually reported with a one quarter lag, will not be reported until September of 1986.

The Federal Emergency Management Agency is not reporting the Federal Flood Control program (CFDA 83.100). It is expected that first and second quarter records will be reported late in the third quarter of fiscal year 1986.

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
SECOND QUARTER FY 1986

The following list is designed to provide general guidance for the user as to reporting discrepancies that currently exist. Reporting agencies are working closely with the Census Bureau to ensure that these are kept to a minimum. Users are urged to contact the Census Bureau if significant reporting problems are discovered.

Agriculture, Department of

Missing Data Elements: None

Reporting Deviations:

Nonfederal Funding -- amounts are estimated for some entries (Food and Nutrition Service Grants -- CFDA range 10.550 to 10.565).

Type of Assistance -- CFDA program number 10.051 is classified as both "direct loans" (code 07) and "other" assistance (code 11). This program is Commodity Loans and Purchases (Price Supports), for which the Federal Government provides assistance in the form of direct loans or direct payments. The Agriculture Department has classified the purchase of commodities under this program as "other" financial assistance.

Type of Recipient -- recipients for loan program of the Farmers Home Administration are generally classified as "all other". These awards are made to various organizations, partnerships, or public bodies that are often not assignable to the specific recipient categories defined in FAADS.

Note: The Food Stamps program is reported as a single entry for each state, paid to the State capital. No substate detail on this program is currently available for FAADS.

Beginning this fiscal year, the Crop Insurance Program (CFDA #10.450) will be reported in fourth quarter only.

Commerce, Department of

Missing Data Elements: None

Reporting Deviations: None

Note: Ending dates on some Commerce Department loan obligations reflect the entire period over which the loan is outstanding. Some of these are twenty or more years in duration, and thus the year for the ending date will appear in FAADS data as 02, 03, 04 and so forth (reflecting 2002, 2003, 2004, etc.).

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DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
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Defense, Department of

Missing Data Elements:

Ending dates --169 records (69%) reflect ongoing construction or research projects with no specified end date.

Reporting Deviations:

None

Education, Department of

Missing Data Elements:

SAI Numbers -- all records.

Reporting Deviations: None

Note: Some Pell Grants (CFDA 84.063) are described as ".....BEOG Alternate Disbursing System." These are grants awarded directly to the student rather than an institution of higher education. These awards have been reported at the capital city of each state. Grants reported as BEOG Alternate Disbursing System are aggregated and reported one record per week.

Awards under CFDA #84.032 Higher Education Act Insured Loans (Guaranteed Student Loans) are not reported by the Department of Education on a quarterly basis.

Ending dates on some Education Department loan obligations reflect the entire period over which the loan is outstanding. Some of these are twenty or more years in duration, and thus the year for the ending date will appear in FAADS data as 02,03,04 and so forth (reflecting 2002, 2003, 2004, etc.).

Energy, Department of

Missing Data Elements: None

Reporting Deviations:

Recipient Location -- reflects project rather than recipient location (although they are the same for many programs).

Federal Agency Code--All records reflect the Departmental Code "8900" rather than codes for individual offices administering the programs.

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Health and Human Services, Department of

Missing Data Elements:

SAI Numbers -- not available for many programs.

Nonfederal Funding -- not available for some matching grant programs.

Reporting Deviations:

Action/Starting Dates -- always appear to be same, due to net quarterly reporting.

Net Quarterly Reporting -- multiple transactions under one project, including obligations and deobligations, are summarized under one entry for quarter. Applies primarily to assistance awards in the "direct payments" category.

Proration/allocation methods are used to distribute assistance by geographic area for the following programs: 13.679, 13.714, 13.773, 13.774, 13.777, 13.802, 13.803, 13.805, 13.806, 13.807, 13.808. As a result FAADS may show multiple entries at the county aggregate level. For the 13.679 (Child Support Enforcement), 13.714 (Medicaid), 13.777 (State Certification), 13.808 and (Maintenance Assistance - AFDC), grant awards are made to the State governments, but reported here by county area.

Nonfederal Funding -- for many formula grant programs, reported amounts are based upon statistical computations using Catalog of Federal Domestic Assistance funding percentages.

Housing and Urban Development, Department of

Missing Data Elements:

ZIP Code -- for CFDA programs 14.156, and 14.157

Project Description: for CFDA programs 14.156 and 14.157

Nonfederal Funding amount -- for CFDA programs 14.123, 14.129, 14.135, 14.139, 14.141, 14.156, 14.157, and 14.AAA.

Ending Date -- for CFDA programs 14.123, 14.129, 14.135, 14.141, 14.156, 14.157, and 14.AAA.

Recipient -- not reported for less than 0.1% of all awards.

Reporting Deviations:

There is a one quarter lag in most of HUD's programs, so that the correction/late indicator (field 27) reads "L" and the fiscal year/quarter field (28) contains the number of the previous quarter.

For the Community Development Block Grant programs (CFDA numbers 14.218, and 14.230) reporting includes only new awards. Modifications, and continuations of existing grant awards are not being reported.

The Single Family Housing programs, usually reported one quarter late, will not be available until the fourth quarter of fiscal year 1986.

Recipient Location -- for many programs actually represents the project location. However, the recipient and project locations would frequently be the same.

Recipient types -- were coded "all other" for CFDA #14.156, 14.135, 14.141, 14.157, 14.167, 14.AAA, 14.137, 14.129, 14.123 & 14.850 where specific nature of grantee was not available.

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DETAILED ANALYSIS OF FEDERAL AGENCY
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Interior, Department of the

Missing Data Elements: None

Reporting Deviations: None

Program Coverage: The Department of the Interior does not yet report awards from the following Bureau of Indian Affairs programs: 15.103, 15.108, 15.113, 15.114, 15.123, 15.124, 15.130, 15.141, 15.143.

New Program Titles: 15.DAH Ninth Annual Institute on Western Energy and Minerals Opportunities, Problems, and Policy Issues

15.DAS Cooperative Agreement for the Purpose of Utilizing State Prisoners on Natural Resources Management Projects on Public Lands

Justice, Department of

Missing Data Elements: None

Reporting Deviations: SAI Number shown on only a limited number of records.

Labor, Department of

Missing Data Elements:

SAI Number -- not available for most records.

FAI Number -- missing for 2 records

Reporting Deviations:

Action Date -- represented by last day of the reporting quarter for entries which reflect more than one transaction (See Note).

Program Coverage:

The Labor Department is reporting all 1st quarter awards of the Employment Standards Administration for the fourth quarter of fiscal year 1985 as late in this second quarter report. Specific programs reported include Federal Workers Compensation (17.FEC), Longshore and Harborworkers Compensation (17.302), and Coal Mine Workers Compensation (17.307--Black Lung Benefits).

Note: For the Employment and Training Administration, reporting reflects multiple transactions summarized under one entry per quarter for each recipient.

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DETAILED ANALYSIS OF FEDERAL AGENCY
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Transportation, Department of

Missing Data Elements:
Ending Dates -- all records.

Reporting Deviations:
Action Dates/Starting Dates -- for most records, the day is entered as "01"
(years and months are in compliance).

SAI Numbers -- not available in many cases.

Note: DOT grants under the Federal Aid Highway program are reported as awards to the State Governments, but are shown separately by project. The project location and description are listed in the principal place of performance and project description fields.

Treasury - Office of Revenue Sharing

Missing Data Elements:
ZIP codes

Ending date is the date of legal requirement to have spent the money.

Reporting Deviations:
Action date is reported as the first day of the quarter. The actual obligation date is the first day of the fiscal year.

Note: Federal General Revenue Sharing grants are reported action by action.

Treasury - Internal Revenue Service

No awards this quarter.

ACTION

Missing Data Elements:
SAI Number -- most programs are exempt.

Reporting Deviations:
Principal place of performance -- all awards are listed as statewide.

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Appalachian Regional Commission

Missing Data Elements:

SAI Number -- not available for most records.

Reporting Deviations:

Place of Performance -- most records report as "statewide"

ARC reports only those programs for which they obligate funds directly.

All other ARC programs are reported by the federal agency responsible for monitoring the project. Agencies, and the CFDA programs involved, are as follows:

CFDA Programs

23.002	23.010
23.003	23.012
23.004	23.013
23.008	

Federal Agencies (subagencies)

Department of Agriculture (FMHA)
Department of Commerce (EDA)
Department of Education (OED)
Environmental Protection Agency
Health and Human Services (OHD, HSMHA)
Housing and Urban Development
Department of the Interior (Park Service)
Department of Transportation (Federal Highway Administration)

Equal Employment Opportunity Commission

Missing Data Elements: None

Reporting Deviations: None

Environmental Protection Agency

Missing Data Elements: None

Reporting Deviations: None

Program Title: CFDA #66.804--State Underground Storage Tanks Program
#66.456--Comprehensive Estuarine Development

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Federal Emergency Management Agency (FEMA)

Missing Data Elements: None

Reporting Deviations:

The Disaster Assistance program (CFDA 83.516) is reported as both county aggregate records and action-by-action records. The county aggregate records represent grants to the county government, for pass-through to individual recipients. Grants to the state government for pass-through to individual recipients are so designated, but are reported at the state capitol city.

Note: Federal funds reported for the Flood Insurance Program (CFDA 83.100) represent the face value of policies written during the quarter. However, the Flood Insurance program is not reported in this second quarter. First and second quarter records will be reported late in the third quarter.

National Archives and Records Administration

Missing Data Elements: SAI numbers should exist but none are reported.
Spot checks of a few States indicate none assigned.

Reporting Deviations: None

National Aeronautics and Space Administration

Missing Data Elements:

Starting dates--many N.A.S.A. programs are continuations or extensions of research that has been carried on over a long period of time.

Nonfederal funding--not reported.

Program Titles:

All N.A.S.A. entries are listed as 43.AAA, designated as "Research Grants for the Space Program."

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National Endowment for the Humanities

Missing Data Elements:

SAI Numbers -- all programs are exempt.

Principal Place of Performance -- not reported.

Reporting Deviations: None

Nuclear Regulatory Commission

Missing Data Elements: None

Reporting Deviations: None

National Science Foundation

Missing Data Elements:

SAI Number -- all programs are exempt, except for CFDA program numbers 47.036, 47.063 which accounts for less than one percent of all awards.

Reporting Deviations: None

Railroad Retirement Board

Missing Data Elements: None

Reporting Deviations: None

The RRB report includes the following programs:

57.001 - Social Insurance for Railroad Workers

57.002 - Milwaukee Railroad Workers - No awards this quarter

57.003 - Conrail

Small Business Administration

Missing Data Elements: None

Reporting Deviations:

SAI number -- all programs are exempt.

59.008 (Physical Disaster Loans)--some awards are reported on a county aggregate basis.

Note: Ending dates on SBA loan obligations reflect the entire period over which the loan is outstanding. Many of these are of twenty or more years in duration, and thus the year for the ending date will appear in FAADS records as 02, 03, and so forth (reflecting 2002, 2003, etc.).

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Smithsonian Institution

Missing Data Elements: None

Reporting Deviations: None

Program Coverage:

The Smithsonian Institution does not yet report awards for programs 60.001, 60.016 and 60.020.

Veterans Administration

Missing Data Elements:
None

Reporting Deviations:

Federal Award Identifier Number -- not available for state home construction projects awards.

Ending dates -- a limited number of the awards reported under state homes construction programs contain no specified ending date.

Note: CFDA program 64.101 (Burial Expenses Allowance for Veterans) was not reported for the second quarter. Awards will be included in the next report (third quarter), as late award records.

FEDERAL ASSISTANCE AWARD DATA SYSTEM
RECORD COUNTS AND FUNDING TOTALS
SECOND QUARTER - FY 1986

Reporting agency	Amounts Reported			Percent of FAADS Totals		
	Record count	Federal funds	Nonfederal funds	Record count	Federal funds	Nonfederal funds
Action.....	551	27846294	14801452	0.2%	.0%	0.5%
Agriculture.....	29157	17369686957	415043187	13.1%	13.4%	13.5%
Appalachian Regional Commission..	12	707118	1122391	.0%	.0%	.0%
Commerce.....	498	81553061	24820822	0.2%	0.1%	0.8%
Defense Department.....	244	39673443	3526691	0.1%	.0%	0.1%
Education.....	12348	1553656241	24977280	5.5%	1.2%	0.8%
E.E.O.C.....	84	4890281	0	.0%	.0%	0.0%
Energy.....	1451	257025011	44288116	0.7%	0.2%	1.4%
E.P.A.....	1448	306726615	208164045	0.6%	0.2%	6.8%
F.E.M.A.....	2823	150551008	44378003	1.3%	0.1%	1.4%
H.H.S.....	51367	92650565431	142989937	23.0%	71.4%	4.7%
H.U.D.....	1118	1665819810	55551200	0.5%	1.3%	1.8%
Interior.....	456	237224231	24928477	0.2%	0.2%	0.8%
Justice.....	135	18375570	0	0.1%	.0%	0.0%
Labor.....	18168	1414836450	26803756	8.2%	1.1%	0.9%
N.A.S.A.....	1004	60697829	0	0.5%	.0%	0.0%
National Archives.....	44	1143371	2016359	.0%	.0%	0.1%
National Endowment - Humanities..	926	30874215	-25867	0.4%	.0%	.0%
National Science Foundation.....	3593	317411211	0	1.6%	0.2%	0.0%
Railroad Retirement Board.....	6025	1645776813	0	2.7%	1.3%	0.0%
Small Business Administration....	6735	837941213	101395698	3.0%	0.6%	3.3%
Nuclear Regulatory Commission	29	782163	0	.0%	.0%	0.0%
Smithsonian.....	17	146763	0	.0%	.0%	0.0%
Transportation.....	16560	4473224830	1930381738	7.4%	3.4%	63.0%
Treasury.....	39322	1124882506	0	17.6%	0.9%	0.0%
Veterans Administration.....	28764	5437627746	508181	12.9%	4.2%	.0%
All agencies	222879	129709046181	3065691466	100.0%	100.0%	100.0%